Vanderbilt Securities, LLC - Held NMS Stocks and Options Order Routing Public Report

Pursuant to Rule 606 of Regulation NMS-Stocks and Rule 607 of Regulation NMS-Stock, Alternative Display Facility

1st Quarter, 2020

January 2020
S&P 500 Stocks

Summary

Total Shares Routed to ATS	Shares % Routed to ATS	Shares % Routed to Other Venues	Total Shares Routed to Other Venues
2,000	0.01%	99.99%	14,777,000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (in 100's)	Market Orders	Marketable Limit Orders	Non-Marketable Limit Orders	Other Orders	Net Payment Paid/ Received for Market Orders(in cents per hundred shares)	Net Payment Paid/ Received for Market Orders(in mills per share)	Net Payment Paid/ Received for Other Orders(in cents per hundred shares)	Net Payment Paid/ Received for Other Orders(in mills per share)	Net Payment Paid/ Received for Market Orders(in cents per hundred shares)	Net Payment Paid/ Received for Market Orders(in mills per share)	Net Payment Paid/ Received for Other Orders(in cents per hundred shares)	Net Payment Paid/ Received for Other Orders(in mills per share)
		Market Orders											
CITADEL SECURITIES LLC(CDRG)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
SMART Routing Services (SMRT)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
TD AMERITRADE, INC.(SAMB)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
WEDBUSH SECURITIES INC.(PWJC)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
UBS Securities, LLC.(UBSS)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
The Buyers Securities, LLC(BUYS)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
Citco Global G Trading (Canada)(CITG)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
Sun Trading Markets, L.L.C.(SUNT)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
S&P Global Capital Markets LLC(SPGM)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
Wells Fargo Securities, LLC(WELS)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-

Material Aspects

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January 2020
Non S&P 500 Stocks

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S&P Global Capital Markets LLC(SPGM)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
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January 2020
Option Contracts

Summary

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SUSQUEHANNA FUNDING LLC(SUSQ)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
WOLVERINE TRADING, LLC(WLVN)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
CULLEN/FROST BANKERS, INC.(CFBX)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
The Buyers Securities, LLC(BUYS)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
Citco Global G Trading (Canada)(CITG)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
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February 2020
S&P 500 Stocks

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Citco Global G Trading (Canada)(CITG)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
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March 2020
S&P 500 Stocks

Summary

Total Shares Routed to ATS	Shares % Routed to ATS	Shares % Routed to Other Venues	Total Shares Routed to Other Venues
2,000	0.01%	99.99%	14,777,000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (in 100's)	Market Orders	Marketable Limit Orders	Non-Marketable Limit Orders	Other Orders	Net Payment Paid/ Received for Market Orders(in cents per hundred shares)	Net Payment Paid/ Received for Market Orders(in mills per share)	Net Payment Paid/ Received for Other Orders(in cents per hundred shares)	Net Payment Paid/ Received for Other Orders(in mills per share)	Net Payment Paid/ Received for Market Orders(in cents per hundred shares)	Net Payment Paid/ Received for Market Orders(in mills per share)	Net Payment Paid/ Received for Other Orders(in cents per hundred shares)	Net Payment Paid/ Received for Other Orders(in mills per share)
		Market Orders											
CITADEL SECURITIES LLC(CDRG)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
SMART Routing Services (SMRT)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
TD AMERITRADE, INC.(SAMB)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
WEDBUSH SECURITIES INC.(PWJC)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
UBS Securities, LLC.(UBSS)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
The Buyers Securities, LLC(BUYS)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
Citco Global G Trading (Canada)(CITG)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
Sun Trading Markets, L.L.C.(SUNT)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
S&P Global Capital Markets LLC(SPGM)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-
Wells Fargo Securities, LLC(WELS)	1,000	100.00	0.00	0.00	0.00	0.00	-	0.00	-	0.00	-	0.00	-

Material Aspects

CITADEL SECURITIES LLC (CDRG)
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The Buyers Securities, LLC(BUYS)
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Citco Global G Trading (Canada)(CITG)
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Sun Trading Markets, L.L.C.(SUNT)
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S&P Global Capital Markets LLC(SPGM)
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