2nd Quarter, 2021

April 2021

S&P 500 Stocks

	Summary									
1	Total Non-Directed	Non-Directed Orders as %		Market Orders as % of	Total Marketable Limit	Marketable Limit	Total Non-Marketable Limit Orders	Non-Marketable Limit		Other Orders as % of
	Orders	of All Orders	Total Market Orders	Non-Directed Orders	Orders	Orders as % of Non-		Orders as % of Non-	Total Other Orders	Non-Directed Orders
						Directed Orders		Directed Orders		
ı	2,612	100.00	1,401	53.64	59	2.26	319	12.21	833	31.89
								l		

Venues																		
Venue - Non-directed Order Flow	Nova Divostrad Ondova	Non-Directed Orders(%)	Manket Ordere	Maylot Ordono(0/)	Marketable Limit Orders		Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Oth or Ondors	Oth on Ondono(0/)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities	Non-Directed Orders 1.222		Market Orders	Market Orders(%) 2 50.12		Marketable Limit Orders(%) 48.33	5	39 16.6	Other Orders	Other Orders(%)	2	0	0		n	0	0	
LLC(CDRG)	1,224	45.00		30.11		40.55		10.0		47.52								
VIRTU Americas, LLC(NITE)	816	28.71	36	7 26.20	21	35.00	6	11.2	1 36	43.50	0	0	0		0	0	0	0 0
JANE STREET CAPITAL, LLC(JNST)	233	8.20	19	6 13.99	8	13.33	2	5.4	2	0.00	0	0	0		0	0	0	0 0
NASDAQ Execution Services, LLC(NSDQ)	148	5.21		0.00	1	1.67	14	27.4	8	0.00	0	0	0		0	0	0	0 0
New York Stock Exchange(NYSE)	139	4.89		0.00	O C	0.00	13	25.9	8	0.00	0	0	0		0	0	0	0 0
Two Sigma Securities, LLC(SOHO)	96	3.38	6	7 4.78	3 1	1.67		7 1.3	1 2	2.48	8	0	0		0	0	0	0 0
UBS Securities, LLC(UBSS)	68	2.39	3	0 2.14	4 C	0.00		6 1.1	2 3	3.78	8	0	0		0	0	0	0 0
Cboe EDGX US Equities Exchange(EDGX)	55	1.94		0.00	0	0.00	5	55 10.2	8	0.00	0	0	0		0	0	0	0 0
G1 Execution Services, LLC(ETMM)	54	1.90	3	9 2.78	B C	0.00		3 0.5	6 1	2 1.42	2	0	0 0		0	0	0	0 0
Instinet BlockCross(BLKX)	11	0.39		0.00	O C	0.00		0.0	0 1	1.30	0	0	0		0	0	0	0 0

Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction fees or any transaction fees or any transaction rebates from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transac VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. JANE STREET CAPITAL, LLC (JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. NASDAQ Execution Services, LLC(NSDQ) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. Two Sigma Securities, LLC (SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. UBS Securities, LLC (UBSS): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Instinet BlockCross(BLKX)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. **April 2021**

Non-S&P 500 Stocks

Summary

Material Aspects:

Citadel Securities LLC (CDRG):

Material Aspects:

	Non-Directed Orders as % of All Orders Total M	Narket Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
6,653	100.00	4,154	62.44	4 179	2.6	9 1,63	5 24.58	8 685	10.30	0								
Venues										_								
Venue - Non-directed Order Flow	Non-Directed Orders	irected Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundre shares)	Net Payment Paid/ Received for Marketable d Limit Orders(USD)	Received for Marketable	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Orders/sents per bundred	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	3,015	40.00	2,107	50.73	8	9 49.4	4 414	16.85	405	54.2	2	0	0 0	C	(0		0 0
VIRTU Americas, LLC(NITE)	1,684	22.34	1,077	7 25.93	5.	28.8	9 323	3 13.15	232	31.0	6	0	0 0	0	(0		0 0
JANE STREET CAPITAL, LLC(JNST)	772	10.24	609	9 14.60	5 2	11.6	7 142	5.78		0.0	0	0	0 0	0	(0		0 0
NASDAQ Execution Services, LLC(NSDQ)	586	7.77	(0.00	0	0.0	0 586	23.85	(0.0	0	0	0 0	0	(0		0 0
Cboe EDGX US Equities Exchange(EDGX)	524	6.95	(0.00		0.0	0 524	4 21.33	3	0.0	0	0	0 0	0	(0	1	0 0
Two Sigma Securities, LLC(SOHO)	203	2.69	152	2 3.66	5	9 5.0	0 36	6 1.47	(6 0.8	0	0	0 0	0		0		0 0
NYSE Arca(ARCA)	175	2.32	(0.00		1 0.5	6 174	7.08	3	0.0	0	0	0 0	0	(0	,	0 0
UBS Securities, LLC(UBSS)	172	2.28	93	2.24	1	2.2	2 3:	1.51	38	5.0	9	0	0 0	C	(0		0 0
New York Stock Exchange(NYSE)	141	1.87	(0.00		0.5	6 140	5.70		0.0	0	0	0	C		0		0 0
G1 Execution Services, LLC(ETMM)	124	1.65	115	2.77	7	3 1.6	7	0.04		0.6	7	0	0 (C	(0		0 0

VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. JANE STREET CAPITAL, LLC (JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. NASDAQ Execution Services, LLC(NSDQ) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. New York Stock Exchange(NYSE) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. Two Sigma Securities, LLC (SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for many profit-sharing relationship, transaction fees or any transa Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. **April 2021 Option Contracts** of All Orders Non-Directed Orders Orders as % of Non-Orders as % of Non-Non-Directed Orders Total Market Orders Total Other Orders Directed Orders Directed Orders

Venues									_				_					
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)		Received for Marketable	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities	565	47.9	6	229 48.6	2 4	1 53.9	5 29	5 46.75		0.00		0 0	(D	0	0	0	0 0
LLC(CDRG)																		
Susquehanna Capital Group(SUSQ)	242	20.5	4	170 36.0	9 1	9 25.0	0 5	8.40		0.00		0 0	(D	0	0	0	0 0
Group(SUSQ)																		
Wolverine Execution Services LLC(WEXX)	166	14.0	9	5 1.0	6	2.6	3 15	9 25.20		0.00		0 0	(0	0	0	0 0
Dash Financial Technologies LLC(DASH)	159	13.5	0	47 99	8 1	1 14.4	7 10	16.01		0 0.00		0 0			0	0	0	0 0
	153	13.3		3.3		1	,	10.01		0.55							ŭ	Ŭ.
Morgan Stanley & Company LLC(MSCO)	46	3.9	0	4.2	5	3.9	5 2	3.65		0.00		0 0			0	0	0	0
Material Aspects: Citadel Securities LLC ((CDRG):	!		· !	!			!		·!		!		1			!	·

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Wolverine Execution Services LLC (WEXX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Dash Financial Technologies LLC (DASH): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Morgan Stanley & Company LLC (MSCO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. May 2021

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S&P 500 Stocks Summary

Susquehanna Capital Group (SUSQ):

1,321 Orders(%) Market Orders 43.29	58.40 54 Market Orders(%) 679 51.52	2.39 Marketable Limit Orders			621	27.45			Net Payment Paid/	Net Payment Paid/	Not Payment Paid/	Not Dayment Paid	Not Daymont Poid		1
Market Orders				Non-Marketable Limit					Net Payment Paid/	Net Payment Paid/	Not Payment Paid/	Not Dayment Daid	Not Payment Paid		
Market Orders				Non-Marketable Limit					Net Payment Paid/	Net Payment Paid/	Not Payment Paid/	Not Daymont Daid	Not Dayment Daid		1
43.29	679 51.52		Marketable Limit Orders(%)		Non-Marketable Limit Orders(%)	Other Orders		Net Payment Paid/ Received for Market Orders(USD)	Received for Market Orders(cents per hundred shares)	Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Received for Non- Marketable Limit Orders(USD)	Orders/sents per	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hund shares)
		22	40.00	67	16.67	271	43.36	0	0	0	0	0	0	C	,
30.75	367 27.85	20	36.36	47	11.69	304	48.64	0	0	0	0	0	0	C	i
8.54	178 13.51	6	10.91	21	5.22	0	0.00	0	0	0	0	0	0	C	1
6.04	0.00	1	1.82	144	35.82	0	0.00	0	0	0	0	0	0	C	1
2.63	0.00	0	0.00	63	15.67	0	0.00	0	0	0	0	0	0	C)
2.54	39 2.96	2	3.64	4	1.00	16	2.56	0	0	0	0	0	0	C	,
2.42	24 1.82	2	3.64	2	0.50	30	4.80	0	0	0	0	0	0	C	1
2.17	0.00	0	0.00	52	12.94	0	0.00	0	0	0	0	0	0	C	
1.46	31 2.35	2	3.64	2	0.50	0	0.00	0	0	0	0	0	0	C	ı .
0.17	0.00	0	0.00	0	0.00	4	0.64	0	0	0	0	0	0	C	
	2.63 2.54 2.42 2.17 1.46 0.17	2.54 39 2.96 2.42 24 1.82 2.17 0 0.00 1.46 31 2.35	2.54 39 2.96 2 2.42 24 1.82 2 2.17 0 0.00 0 1.46 31 2.35 2	2.54 39 2.96 2 3.64 2.42 24 1.82 2 3.64 2.17 0 0.00 0 0.00 1.46 31 2.35 2 3.64	2.54 39 2.96 2 3.64 4 2.42 24 1.82 2 3.64 2 2.17 0 0.00 0 0.00 52 1.46 31 2.35 2 3.64 2	2.54 39 2.96 2 3.64 4 1.00 2.42 24 1.82 2 3.64 2 0.50 2.17 0 0.00 0 0.00 52 12.94 1.46 31 2.35 2 3.64 2 0.50	2.54 39 2.96 2 3.64 4 1.00 16 2.42 24 1.82 2 3.64 2 0.50 30 2.17 0 0.00 0 0.00 52 12.94 0 1.46 31 2.35 2 3.64 2 0.50 0	2.54 39 2.96 2 3.64 4 1.00 16 2.56 2.42 24 1.82 2 3.64 2 0.50 30 4.80 2.17 0 0.00 0 0.00 52 12.94 0 0.00 1.46 31 2.35 2 3.64 2 0.50 0 0.00	2.54 39 2.96 2 3.64 4 1.00 16 2.56 0 2.42 24 1.82 2 3.64 2 0.50 30 4.80 0 2.17 0 0.00 0 0.00 52 12.94 0 0.00 0 1.46 31 2.35 2 3.64 2 0.50 0 0.00 0	2.54 39 2.96 2 3.64 4 1.00 16 2.56 0 0 2.42 24 1.82 2 3.64 2 0.50 30 4.80 0 0 2.17 0 0.00 0 0.00 52 12.94 0 0.00 0 0 1.46 31 2.35 2 3.64 2 0.50 0 0.00 0 0	2.54 39 2.96 2 3.64 4 1.00 16 2.56 0 0 0 2.42 24 1.82 2 3.64 2 0.50 30 4.80 0 0 0 2.17 0 0.00 0 0.00 52 12.94 0 0.00 0 0 0 1.46 31 2.35 2 3.64 2 0.50 0 0.00 0 0 0	2.54 39 2.96 2 3.64 4 1.00 16 2.56 0 0 0 0 2.42 24 1.82 2 3.64 2 0.50 30 4.80 0 0 0 0 2.17 0 0.00 0 0.00 52 12.94 0 0.00 0 0 0 0 1.46 31 2.35 2 3.64 2 0.50 0 0.00 0 0 0 0 0	2.54 39 2.96 2 3.64 4 1.00 16 2.56 0	2.54 39 2.96 2 3.64 4 1.00 16 2.56 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	2.54 39 2.96 2 3.64 4 1.00 16 2.56 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

Other Orders as % of

JANE STREET CAPITAL, LLC (JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. NASDAQ Execution Services, LLC(NSDQ) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for many profit-sharing relationship, transaction fees or any transa New York Stock Exchange(NYSE) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Two Sigma Securities, LLC (SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for many profit-sharing relationship, transaction fees or any transa G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. UBS Securities, LLC (UBSS): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Instinet BlockCross(BLKX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. May 2021 Non-S&P 500 Stocks on-Marketable Limit Other Orders as % of Orders as % of Nonof All Orders Non-Directed Orders Orders as % of Non-Non-Directed Orders Total Market Orders Total Other Orders Directed Orders Directed Orders

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Total Non-Directed Venues

JANE STREET CAPITAL, LLC (JNST):

NASDAQ Execution Services, LLC(NSDQ)

Citadel Securities LLC (CDRG):

VIRTU Americas, LLC (NITE):

enue - Non-directed Order Flow		Non-Directed Orders(%)				Marketable Limit Orders		Orde		Orders(%)			Received for Market Orders(USD)	shares)	Limit Orders(03D)	hundred shares)	Orders(USD)	Orders(cents per hundred shares)	Received for Other Orders(USD)	shares)
del Securities	Non-Directed Orders	38.98	Market Orders	Market Orders		00	Marketable Limit Orders(%)	F1 04	204	10.20	Other Orders	Other Orders(%)	21	01	0	0	0	0	0	
CDRG)	2,265	36.96	1,	514	49.75	98		51.04	584	19.30	2	45.9							0	O Company
U Americas, NITE)	1,363	23.46		832	27.34	59		30.73	249	12.5	2	38.0	5	0	0	0	0	0	0	0
STREET CAPITAL, JNST)	584	10.05		436	14.33	25		13.02	123	6.13	8	0.0	0	0	0	0	0	0	0	0
e EDGX US Equities nange(EDGX)	556	9.57		0	0.00	0		0.00	556	27.9	4	0 0.0		0	0	0	0	0	0	0
SDAQ Execution vices, LLC(NSDQ)	441	7.59		0	0.00	0		0.00	441	22.10	6	0.0	0	0	0	0	0	0	0	0
v York Stock nange(NYSE)	159	2.74		0	0.00	0		0.00	159	7.99	9	0.0	0	0	0	0	0	0	0	0
S Securities, (UBSS)	146	2.51		73	2.40	2		1.04	34	1.7	1	37 6.3	1	0	0	0	0	0	0	0
o Sigma Securities, C(SOHO)	144	2.48		106	3.48	7		3.65	27	1.30	6	4 0.6	8	0	0	0	0	0	0	0
Execution rvices, LLC(ETMM)	86	1.48		82	2.69	1		0.52	3	0.13	5	0.0	0	0	0	0	0	0	0	0
inet ckCross(BLKX)	53	0.91		0	0.00	0		0.00	C	0.00	0	53 9.0	4	0	0	0	0	0	0	0

Net Payment Paid/

Received for Other

Received for Other

Received for Other

Received for Other

shares)

Orders(cents per hundre

Net Payment Paid/

Received for Other

Orders(USD)

shares)

Orders(cents per hundre

Net Payment Paid/

Received for Other

shares)

Orders(cents per hundre

Net Payment Paid/

Received for Other

Orders(USD)

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Received for Marketable Received for Marketable Received for Non-

Received for Market

Orders(cents per hundred Limit Orders(USD)

shares)

Received for Market

shares)

Orders(cents per hundred Limit Orders(USD)

Net Payment Paid/

Received for Market

Received for Market

Orders(USD)

Other Orders(%)

Orders(USD)

Other Orders(%)

eceived for Marketable

Received for Marketable

Marketable Limit

Orders(USD)

Limit Orders(cents per

hundred shares)

nundred shares)

Orders(USD)

Received for Market

Orders(USD)

Limit Orders(cents per

hundred shares)

Marketable Limit

Orders(USD)

Received for Non-

Received for Non-

Marketable Limit

Received for Non-

Marketable Limit

Received for Non-

Marketable Limit

Orders(cents per hundred

Orders(cents per hundred

Orders(cents per hundred

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Non-Marketable Limit

Non-Marketable Limit

Orders(%)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

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Marketable Limit Orders

Marketable Limit Orders

Marketable Limit Orders(%)

Market Orders(%)

	Non-Directed Orders	N	Market Orders Market	Orders(%)	Marketable Li	mit Orders(%)		Other Orders	Other Orders(%)				
Citadel Securities LLC(CDRG)	636	45.46	258	44.79	48	46.60	329	45.76	1 100.00	0 0	0 0	0	0 0
Susquehanna Capital Group(SUSQ)	376	26.88	248	43.06	39	37.86	89	12.38	0.00	0 0	0 0	0	0 0
Wolverine Execution Services LLC(WEXX)	213	15.23	13	2.26	3	2.91	197	27.40	0.00	0 0	0 0	0 0	0 0
Dash Financial Technologies LLC(DASH)	126	9.01	33	5.73	8	7.77	85	11.82	0.00	0 0	0 0	0 0	0 0
Morgan Stanley & Company LLC(MSCO)	48	3.43	24	4.17	5	4.85	19	2.64	0.00	0 0	0 0	0	0 0
Material Aspects: Citadel Securities LLC Vanderbilt Securities, L	*	g firm, NFS. Vander	bilt Securities, LLC does not re	eceive any payment for orde	r flow, payment from any pro	ofit-sharing relationship, transaction fee	s or any transaction	on rebates from our clearing firm,	National Financial Services. However, National Fina	ncial Services may receive such payments. Please r	efer to their 606 report for additional details.		•
Susquehanna Capital Gr Vanderbilt Securities, L		g firm, NFS. Vander	bilt Securities, LLC does not re	eceive any payment for orde	r flow, payment from any pro	fit-sharing relationship, transaction fee	s or any transaction	on rebates from our clearing firm,	National Financial Services. However, National Fina	ncial Services may receive such payments. Please r	efer to their 606 report for additional details.		
Wolverine Execution Se Vanderbilt Securities, L	,	g firm, NFS. Vander	bilt Securities, LLC does not re	eceive any payment for orde	r flow, payment from any pro	ofit-sharing relationship, transaction fee	s or any transaction	on rebates from our clearing firm,	National Financial Services. However, National Fina	ncial Services may receive such payments. Please r	efer to their 606 report for additional details.		

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. June 2021 S&P 500 Stocks of All Orders Ion-Directed Orders Orders as % of Non-Orders as % of Non-Non-Directed Orders otal Market Orders Total Other Orders Directed Orders Directed Orders Venues

Non-Marketable Limit

Non-Marketable Limit

Orders(%)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Venue - Non-directed Non-Directed Orders Citadel Securities

LLC(CDRG)

Order Flow

Citadel Securities LLC(CDRG)

Option Contracts

of All Orders

Total Non-Directed

Summary

Dash Financial Technologies LLC (DASH):

Morgan Stanley & Company LLC (MSCO):

Venue - Non-directed

Order Flow

Non-Directed Orders(%)

Non-Directed Orders(%)

Non-Directed Orders(%)

Market Orders

Ion-Directed Orders

otal Market Orders

Market Orders(%)

Non-Directed Orders

Market Orders

VIRTU Americas, LLC(NITE)	547	27.4	45	381	27.31	13 28.3	26 4	12.64	109 53.43	0	(0		0	0
JANE STREET CAPITAL, LLC(JNST)	219	10.9	99	205	14.70	4 8.7	70 1	0 2.87	0.00	0	C	(0	0	(0	0
NASDAQ Execution Services, LLC(NSDQ)	116	5.3	82	0	0.00	4 8.7	70 11	2 32.18	0.00	0	((0	0		0	0
New York Stock Exchange(NYSE)	106	5.:	32	0	0.00	0.0	00 10	6 30.46	0.00	0	((0	0		0	0
Two Sigma Securities, LLC(SOHO)	52	2.0	61	35	2.51	1 2.:	1.7	6 1.72	10 4.9	0	((0	0		0	0
G1 Execution Services, LLC(ETMM)	41	2.0	06	40	2.87	1 2.:	1.7	0.00	0 0.00	0	((0	(0	0
UBS Securities, LLC(UBSS)	39	1.9	96	31	2.22	2 4.3	35	3 0.86	3 1.4	7 0	((0	(0	0
Cboe EDGX US Equities Exchange(EDGX)	30	1.!	51	0	0.00	0 0.0	00 3	0 8.62	0 0.00	0 0	((0	(0	0
Instinet BlockCross(BLKX)	3	0.:	15	0	0.00	0.0	00	0.00	3 1.4	7 0	(0	0		0	0
	tes all trades to their o	clearing firm, NFS. Va	nderbilt Securities, LLC	C does not receive any	ayment for order flow, pay	ment from any profit-sharing relations	nip, transaction fees or any	r transaction rebates from our clearing firm,	National Financial Services. How	wever, National Financial Se	ervices may receive such	payments. Please refer to	their 606 report for addit	tional details.	<u> </u>	1	
VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC route		clearing firm, NFS. Va	nderbilt Securities, LLC	C does not receive any	ayment for order flow, pay	ment from any profit-sharing relationsl	nip, transaction fees or any	transaction rebates from our clearing firm,	National Financial Services. How	wever, National Financial Se	ervices may receive such	payments. Please refer to	their 606 report for addit	tional details.			
JANE STREET CAPITAL, LLC Vanderbilt Securities, LLC route		clearing firm, NFS. Va	nderbilt Securities, LLC	C does not receive any	ayment for order flow, pay	ment from any profit-sharing relations	nip, transaction fees or any	transaction rebates from our clearing firm,	National Financial Services. How	wever, National Financial Se	ervices may receive such	payments. Please refer to	their 606 report for addit	tional details.			
NASDAQ Execution Services, I Vanderbilt Securities, LLC route		clearing firm, NFS. Va	nderbilt Securities, LLC	C does not receive any	ayment for order flow, pay	ment from any profit-sharing relationsl	nip, transaction fees or any	transaction rebates from our clearing firm,	National Financial Services. How	wever, National Financial Se	ervices may receive such	payments. Please refer to	their 606 report for addit	tional details.			
Cboe EDGX US Equities Excha Vanderbilt Securities, LLC route		clearing firm, NFS. Va	nderbilt Securities, LLC	C does not receive any j	ayment for order flow, pay	ment from any profit-sharing relationsl	nip, transaction fees or any	transaction rebates from our clearing firm,	National Financial Services. How	wever, National Financial Se	ervices may receive such	payments. Please refer to	their 606 report for addition	tional details.			

Other Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for many profit-sharing relationship, transaction fees or any transa Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from any profit-sharing relationship, transaction fees or any transa Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for many profit-sharing relationship, transaction fees or any transa Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Instinet BlockCross(BLKX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. **June 2021** Non-S&P 500 Stocks Total Non-Directed Orders as % of Nonof All Orders Non-Directed Orders Orders as % of Non-Non-Directed Orders otal Market Orders Total Other Orders Directed Orders Directed Orders Received for Market Received for Marketable Received for Marketable Net Payment Paid/ Venue - Non-directed Orders(cents per hundred Limit Orders(USD) Limit Orders(cents per Marketable Limit

Non-Marketable Limit

Non-Marketable Limit

Orders(%)

VIRTU Americas, LLC(NITE)	1,817	23.51	1,138	27.45	59	26.94	336	12.97	284	
JANE STREET CAPITAL, LLC(JNST)	787	10.18	633	15.27	38	17.35	116	4.48	0	
Cboe EDGX US Fauities Exchange(EDGX)	740			2.22			740	27.52		

as % of Non-

Directed Orders

Marketable Limit Orders

Marketable Limit Orders(%)

LLC(JNS1)																		
Cboe EDGX US Equities Exchange(EDGX)	713	9.2	23	0 0.0	0	0.00	713	27.53	3	0.00)	0	0	C	C)	0	,
NASDAQ Execution Services, LLC(NSDQ)	649	9 8.4	10	0 0.0	0	0.00	0 649	25.06	6	0.00		0	0	C	C) (0	1
New York Stock Exchange(NYSE)	237	7 3.0	07	0 0.0	0	0.00	237	9.15	5	0.00		0	0	C	C	0	0	1
Two Sigma Securities, LLC(SOHO)	167	7 2.1	15	3.1	4 10	4.57	7 27	7 1.04	4	0.00		0	0	C	C		0	1
UBS Securities, LLC(UBSS)	167	7 2.1	1.6	78 1.8	8	2.28	8 28	1.08	8 5	7.24	(0	0	C	C		0	1
G1 Execution Services, LLC(ETMM)	116	6 1.5	50 10	07 2.5	8	2.28	8	0.15	5	0.00		0	0	C	C		0	
Instinet BlockCross(BLKX)	112	2 1.4	15	0 0.0	0	0.00	0	0.00	0 11:	2 14.49		0	0	C	C) (0	1
VIRTU Americas, LLC (NI	routes all trades to their ITE):	-				nt from any profit-sharing relationshint from any profit-sharing relationshi			-			•		-				
JANE STREET CAPITAL, Vanderbilt Securities, LLC		r clearing firm, NFS. Var	nderbilt Securities, LLC d	does not receive any paymo	ent for order flow, paymer	nt from any profit-sharing relationshi	ip, transaction fees or any	transaction rebates from	our clearing firm, Nationa	al Financial Services. How	vever, National Financial S	Services may receive such	payments. Please refer to	their 606 report for addit	ional details.			
NASDAQ Execution Service Vanderbilt Securities, LLC		r clearing firm, NFS. Va	nderbilt Securities, LLC d	does not receive any paymo	ent for order flow, paymer	nt from any profit-sharing relationshi	ip, transaction fees or any	transaction rebates from	our clearing firm, Nation	al Financial Services. How	vever, National Financial S	Services may receive such	payments. Please refer to	their 606 report for addit	ional details.			

Other Orders

vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from any profit-sharing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from our c Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Two Sigma Securities, LLC (SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for many profit-sharing relationship, transaction fees or any transa Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. **June 2021**

Total Other Orders

Orders as % of Non-

Directed Orders

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)		Received for Marketable	Received for Marketable Limit Orders(cents per	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hund shares)
Citadel Securities LLC(CDRG)	609	44.52	274	45.67	53	49.5.	278	42.6	4	0.0	00	0	0	0		0	0	0
Susquehanna Capital Group(SUSQ)	377	27.37	24:	1 40.17	7 43	40.1	88	13.5	0	0.0	00	0	0	0		0	0	0
Wolverine Execution Services LLC(WEXX)	184	13.54	1:	1.83	3	2.80	170	26.0	7	0.0	00	0	0	0		0	0	0
Dash Financial Technologies LLC(DASH)	140	5 10.74	46	6 7.67	7	7 6.5	1 93	14.2	6	0.0	00	0 0	0	0		0	0	0
Morgan Stanley & Company LLC(MSCO)	5.	3.83	28	4.67	7	0.9	3 23	3.5	3	0.0	00	0 0	0	0		0	0	0

ther Orders as % of

Ion-Directed Orders

Susquehanna Capital Group (SUSQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment from any profit-sharing relationship, transaction fees or any transa Wolverine Execution Services LLC (WEXX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Dash Financial Technologies LLC (DASH): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Morgan Stanley & Company LLC (MSCO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.