October 2021

S&P 500 Stocks

Summary Market Orders as % **Marketable Limit** Non-Marketable of Non-Directed **Total Non-Marketable** Limit Orders as % of Other Orders as % of **Total Non-Directed** Non-Directed Orders **Total Marketable** Orders as % of Non-**Non-Directed Orders** s % of All Orders Total Market Orders **Limit Orders Directed Orders** Limit Orders Non-Directed Orders Total Other Orders Orders 1,772 100.00 75.34

Venue - Non-directed Order Flow Order Flow Order S(W) Market Orders (W) Non-Marketable Limit Orders (W) Non-Marketable L	Net Payment Paid/ et Payment Paid/ Received for Non- Net Payment Paid/
LLC(CDRG) 842 43.95 680 50.94 17 50.00 20 7.69 125 43.55 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	ceived for Non- arketable Limit Orders(cents per hundred shares) Net Payment Paid/ Received for Other Orders(USD) Net Payment Paid/ Received for Other Orders(USD) Net Payment Paid/ Net Payment Paid/ Orders(Cents per hundred shares)
VIRTU Americas, LLC(NITE) 539 28.13 359 26.89 8 23.53 20 7.69 152 52.96 0 0 0 0 0 JANE STREET CAPITAL, LLC(JNST) 222 11.59 212 15.88 2 5.88 8 3.08 0 0.00 0 <td></td>	
LLC(NITE) 539 28.13 359 26.89 8 23.53 20 7.69 152 52.96 0 0 0 0 0 JANE STREET CAPITAL, LLC(JNST) 22 11.59 212 15.88 2 5.88 8 3.08 0 0.00 0<	0 0 0
JANE STREET CAPITAL, LLC(JNST) 222 11.59 212 15.88 2 5.88 8 3.08 0 0.00 0 0 0 0 New York Stock Image: Company of the company	
LLC(JNST) 222 11.59 212 15.88 2 5.88 8 3.08 0 0.00 0 0 0 0 0 New York Stock Image: Control of the control of t	0 0 0
New York Stock	
	0 0 0
Exchange(NYSE) 103 5.38 0 0.00 3 8.82 100 38.46 0 0.00 0 0 0 0 0	0 0 0
NASDAQ Execution	
Services, LLC(NSDQ) 71 3.71 0 0.00 1 2.94 70 26.92 0 0.00 0 0 0 0	0 0
Cboe EDGX US Equities	
Exchange(EDGX) 41 2.14 0 0.00 0 0.00 41 15.77 0 0.00 0 0 0	0 0 0
Two Sigma Securities,	
LLC(SOHO) 41 2.14 38 2.85 2 5.88 1 0.38 0 0.00 0 0 0	0 0 0
G1 Execution	
Services, LLC(ETMM) 37 1.93 36 2.70 1 2.94 0 0.00 0 0.00 0 0 0 0 0	0 0 0
UBS Securities,	I I I
LLC(UBSS) 18 0.94 10 0.75 0 0.00 0 0.00 8 2.79 0 0 0 0	
Instinet BlockCross(BLKX) 2 0.10 0 0.00 0 0.00 0 0.00 0 0.00 0 0.00 0 0.00 0 0.0	0 0 0

Material Aspects: Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. NASDAQ Execution Services, LLC(NSDQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

UBS Securities, LLC(UBSS): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Instinet BlockCross(BLKX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

October 2021 Non-S&P 500 Stocks

Market Orders as % **Marketable Limit** Ion-Marketable **Total Non-Directed** Non-Directed Orders of Non-Directed **Total Marketable** Orders as % of Non-Total Non-Marketable Limit Orders as % of Other Orders as % of s % of All Orders **Total Market Orders** Orders imit Orders **Limit Orders** Non-Directed Orders **Total Other Orders Non-Directed Orders Directed Orders** 5.582 100.00 3,414 61.16 3.80 16.80

0.00

Marketable Limit

Directed Orders

Orders as % of Non-

Market Orders as %

Market Orders as %

85.63

of Non-Directed

Total Market Orders

62.10

Total Marketable

Limit Orders

of Non-Directed

Total Market Orders

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders		Marketable Limit Orders			Non-Marketable Limit Orders(%)	Other Orders		Net Payment Paid/ Received for Market Orders(USD)	Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	2,229	37.36	6 1,751	51.55	79	48.47	140	11.44	259	21.91		0	0	0		0	0	0
VIRTU Americas, LLC(NITE)	1,858	31.14	4 912	26.85	44	26.99	85	6.94	817	69.12		0	0	0) 0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	617	10.34	4 539	15.87	29	17.79	49	4.00	C	0.00		0	0	0		0	0	0
Cboe EDGX US Equities																		
Exchange(EDGX) NASDAQ Execution	428		7 0	0.00		0.00	428	34.97	C	0.00		0	0	0	0	0	0	0
Services, LLC(NSDQ) New York Stock	351	5.88	8 0	0.00		1.23	349	28.51	C	0.00		0	0	0	0	0	0	0
Exchange(NYSE) UBS Securities,	112	1.88	8 0	0.00	(0.00	112	9.15	C	0.00	(0	0	0	0	0	0	0
LLC(UBSS)	105	1.76	33	0.97	3	1.84	16	1.31	53	4.48	(0	0	O	0	0	0	, o
G1 Execution Services, LLC(ETMM)	99	1.66	6 92	2.71	2	1.23	5	0.41	C	0.00	(0	0	0	0	0	0	O
Two Sigma Securities, LLC(SOHO)	88	1.48	B 70	2.06		1.84	15	1.23		0.00		0	0	0		0	0	0
Instinet																		

Net Payment Paid/

Net Payment Paid/

Marketable Limit

Net Payment Paid/

Marketable Limit

Net Payment Paid

Marketable Limit

Orders(cents per

nundred shares)

Net Payment Paid

Marketable Limit

Orders(cents per

undred shares)

Received for

Net Payment Paid/

Received for Non-

Marketable Limit

Orders(USD)

Received for

Received for

Received for

Net Payment Paid/

Net Payment Paid/

Net Payment Paid/

Received for Market

Net Payment Paid/

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Market

Orders(cents per

hundred shares)

Net Payment Paid/

Orders(USD)

Other Orders(%)

100.00

0.00

0.00

Received for Market

Net Payment Paid/

Marketable Limit

Received for

Orders(USD)

Received for Market

Net Payment Paid/

Orders(USD)

Other Orders(%)

Received for Market

Net Payment Paid/

Marketable Limit

Received for

Orders(USD)

Net Payment Paid/

Received for Market

Net Payment Paid/

Net Payment Paid/

Received for

Net Payment Paid/

Net Payment Paid/

Received for Non-

Marketable Limit

Net Payment Paid/

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Marketable Limit

Net Payment Paid/

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Marketable Limit

Orders(cents per

Net Payment Paid/ Received for Non-

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Non-

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Non-

Marketable Limit

Marketable Limit

Orders(USD)

Orders(cents per

hundred shares)

Orders(USD)

Net Payment Paid/

Received for Other

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Other

Orders(cents per

hundred shares)

Received for Other

Orders(USD)

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Received for Other

Orders(USD)

Net Payment Paid,

Marketable Limit

Orders(cents per

hundred shares)

Received for

Net Payment Paid/

Received for Market

Orders(cents per

hundred shares)

Net Payment Paid/

Orders(USD)

Other Orders(%)

Received for Market

Received for Market

Orders(USD)

Orders(cents per

hundred shares)

Marketable Limit

Orders(USD)

Orders(cents per

nundred shares)

Net Payment Paid/

Marketable Limit

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Orders(USD)

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Orders(cents per

hundred shares)

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Received for Other Orders(cents per

hundred shares)

Net Payment Paid/

Received for Other

Orders(USD)

Net Payment Paid/

Orders(cents per

Net Payment Paid/

Received for Other

Material Aspects:

BlockCross(BLKX)

Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details NASDAQ Execution Services, LLC(NSDQ) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details UBS Securities, LLC(UBSS): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Two Sigma Securities, LLC(SOHO) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for additional details

Instinet BlockCross(BLKX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. October 2021

Option Contracts

Total Non-Directed

1,372

Susquehanna Capital Group (SUSQ):

Summary

Venues

Total Non-Directed

1,816

VIRTU Americas, LLC (NITE):

JANE STREET CAPITAL, LLC(JNST):

on-Directed Orders

s % of All Orders

Instinet BlockCross(BLKX):

Total Non-Directed

6,771

G1 Execution Services, LLC (ETMM):

as % of All Orders

Non-Directed Orders

100.00

1,315

Morgan Stanley & Company LLC (MSCO):

December 2021

NASDAQ Execution

G1 Execution

Cboe EDGX US

Services, LLC(NSDQ)

Services, LLC(ETMM)

UBS Securities, LLC(UBSS):

Instinet BlockCross(BLKX):

December 2021

Venue - Non-directed

G1 Execution Services, LLC (ETMM):

Cboe EDGX US Equities Exchange(EDGX)

New York Stock Exchange(NYSE):

December 2021

Option Contracts

Venue - Non-directed

Order Flow

Citadel Securities LLC(CDRG)

Susquehanna Capital

Wolverine Execution Services LLC (WEXX):

Non-Directed

Market Orders

46.83

Non-Directed Orders Orders(%)

Citadel Securities LLC(CDRG)

Order Flow

Non-Directed

Market Orders

2,913

39.13

Non-Directed Orders | Orders(%)

Two Sigma Securities, LLC(SOHO):

Cboe EDGX US Equities Exchange(EDGX)

Venues

Venue - Non-directed

Technologies

Total Market Orders

Non-Directed

Orders(%)

Orders

Market Orders

on-Directed Orders

100.0

as % of All Orders

Non-Directed Orders

100.00

as % of All Orders

Summary

Orders(cents per Non-Directed **Marketable Limit** Marketable Limit Received for Market Orders(cents per Marketable Limit Orders(cents per Marketable Limit **Venue - Non-directed** Non-Marketable Non-Marketable

Total Other Orders

Total Other Orders

Ion-Marketable

Non-Marketable

Limit Orders as % of

Non-Directed Orders

Total Non-Marketable

Limit Orders

2.42

Limit Orders as % of

Non-Directed Orders

30.98

Total Non-Marketable

425

Limit Orders

6.85

Order Flow	Non-Directed Orders	Orders(%)	I M	larket Orders	Market Orders(%)	Orders	Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)						
Citadel Securities																			
LC(CDRG)	632	2	44.35	377	44.2	5 4	7 50.00	207	43.31	L	1 100.00			0	0)	0	0
Susquehanna Capital																			
Group(SUSQ)	430	3	30.18	363	42.6	1 3	5 37.23	32	6.69		0.00			0	0	C	0	0	0
Wolverine Execution																			
Services LLC(WEXX)	192	. 1	13.47	22	2.5	8	1.00	169	35.36	5	0.00))	0	0	0		0	0
Dash Financial																			
echnologies																			
.LC(DASH)	115	5	8.07	54	6.3	4	6.38	55	11.51		0.00			0	0	C		0	0
Morgan Stanley &																			
Company LLC(MSCO)	56	5	3.93	36	4.2	3	5.32	2 15	3.14	1	0.00))	0	0	0		0	0
LC(DASH)		;	3.93	36			6 6.38 5 5.32	2 15						0	0 (0	

Other Orders as % of

Wolverine Execution Services LLC (WEXX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Dash Financial Technologies LLC (DASH): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Morgan Stanley & Company LLC (MSCO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for additional details November 2021 S&P 500 Stocks

Other Orders as % of

Non-Directed Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Total Marketable

imit Orders

Marketable Limit

Directed Orders

Orders as % of Non-

enue - Non-directed	No o Bisson de Colos	Non-Directed	la de la contraction	34 - 1 - 1 - 0 - 1 (0/)	Marketable Limit			Non-Marketable		011 - 0 - 1 (0/)	Received for Market		Marketable Limit	Orders(cents per	Marketable Limit	Orders(cents per	Received for Other	Orders(cents per
	Non-Directed Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares
tadel Securities				. _,_														
.C(CDRG)	898	45.5	8 804	51.7	0 14	4 30.43	33	13.69	4,	36.72	(0))	0)	0	4
IRTU Americas,			_			_												
_C(NITE)	490	24.8	7 390	25.0	13	3 28.26	22	9.13	65	50.78	(0) ()	0)	0	<u>) </u>
ANE STREET CAPITAL,																		
LC(JNST)	284	14.4	2 268	17.2	3	6 13.04	10	4.15	C	0.00	(0)	0		0 ()
IASDAQ Execution																		
ervices, LLC(NSDQ)	85	4.3	1	0.0	0 10	0 21.74	. 75	31.12	(0.00	(0			0		0	J
New York Stock																		
exchange(NYSE)	67	3.4	0	0.0	0	3 6.52	. 64	26.56	C	0.00	(0			0		0	J
31 Execution																		
Services, LLC(ETMM)	53	2.6	9 51	3.2	8	0.00	2	0.83	C	0.00	(0			0		0	ر ا
Cboe EDGX US																		
Equities																		
Exchange(EDGX)	32	1.6	2 0	0.0	0	0.00	32	13.28	C	0.00	(0			0		0	J
Two Sigma Securities,																		
LC(SOHO)	25	1.2	7 25	1.6	1	0.00	0	0.00	C	0.00	(0			0		0	J
JBS Securities,																		1
LC(UBSS)	20	1.0	2 17	1.0	9	0.00	1	0.41	2	1.56	(0			0		0	J
nstinet																		T
BlockCross(BLKX)	14	0.7	1 1 0	0.0	0	0.00	0	0.00	14	10.94		0			0) 	0	ა I

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. NASDAQ Execution Services, LLC(NSDQ):

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Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for additional details. November 2021 Non-S&P 500 Stocks Summary

847

Total Other Orders

Other Orders as % of

Non-Directed Orders

Non-Marketable

Limit Orders as % of

Non-Directed Orders

20.12

Total Non-Marketable

1,362

Limit Orders

4.19

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Marketable Limit Marketable Limit on-Marketable Non-Marketable Venue - Non-directed **Non-Directed** Limit Orders(%) Non-Directed Orders Orders(%) **Market Orders** Market Orders(%) Orders(%) Limit Orders Other Orders Citadel Securities

Orders as % of Non-

Directed Orders

Total Marketable

imit Orders

Market Orders as %

63.18

of Non-Directed

Total Market Orders

4,278

LLC(CDRG)	2,730	37.33	2,108	49.32	134	51.54	240	13.19	248	25.83	0	0	0	((0	ַ /	0
VIRTU Americas, LLC(NITE)	1,946	26.61	1,134	26.53	62	23.85	146	8.02	604	62.92	0	0	0	(o (0	0
ANE STREET CAPITAL, LC(JNST)	911	12.46	792	18.53	43	16.54	76	4.18	0	0.00	0	0	0	() (o c	0	0
boe EDGX US quities xchange(EDGX)	636	8.70	0	0.00	1	0.38	635	34.89	0	0.00	0	0	0	C			0	0
ASDAQ Execution ervices, LLC(NSDQ)	509	6.96	0	0.00	2	0.77	507	27.86	0	0.00	0	0	0	() () (0	0
1 Execution ervices, LLC(ETMM)	118	1.61	111	2.60	6	2.31	1	0.05	0	0.00	0	0	0	() (o (0	0
lew York Stock xchange(NYSE)	111	1.52	0	0.00	1	0.38	110	6.04	0	0.00	0	0	0	() () (0	0
wo Sigma Securities, LC(SOHO)	102	1.39	80	1.87	5	1.92	17	0.93	0	0.00	0	0	0	() () (٥	0
nstinet lockCross(BLKX)	87	1.19	0	0.00	0	0.00	0	0.00	87	9.06	0	0	0	() () (0	0
	85	1.16	49	1.15	6	2.31	9	0.49	21	2.19	0	0	0	() (o (0	0
UBS Securities, LLC(UBSS) Material Aspects: Citadel Securities LLC (CI	85	1.16	49		6	2.31	9		21		0	0	0	C)	0)	<u>C</u>

NASDAO Execution Services, LLC(NSDO) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. UBS Securities, LLC(UBSS): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for additional details

New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. November 2021 **Option Contracts Marketable Limit** Ion-Marketable Market Orders as % of Non-Directed Other Orders as % of **Total Non-Directed** on-Directed Orders **Total Marketable** Orders as % of Non-**Total Non-Marketable** Limit Orders as % of

Non-Directed Orders

Other Orders

Citadel Securities LLC(CDRG) 46.42 49.11 50.63 41.67 Susquehanna Capital Group(SUSQ) 372 27.19 38.78 35.44 7.74 Wolverine Execution 12.57 2.5 Services LLC(WEXX) Dash Financial

0.00

Directed Orders

Marketable Limit

Limit Orders

Marketable Limit

Orders(%)

453

10.34

5.86

Non-Directed Orders

on-Marketable

imit Orders

34.45

Total Other Orders

Non-Marketable

Limit Orders(%)

imit Orders

Market Orders(%)

59.62

LLC(DASH)	109	7.97	35	4.46	5	6.33	69	13.69	0.00	0	0	0		0	0	0
Morgan Stanley & Company LLC(MSCO)	80	5.85	51	6.51	4	5.06	25	4.96	0.00	0	0	0		0	0	0
Material Aspects:		=	=	-	=	=		=	= =====================================	=				= = = = = = = = = = = = = = = = = = = =	=	
Citadel Securities LLC (C		alasring firm NEC V	Jandarhilt Caparities III	C door not roccive any	novement for order flow	novement from any n	rofit charing relationshi	in transaction food or any tr	ansaction rebates from our clear	ing firm National Einanaid	J Carriage Hawayar Nation	nal Einanaial Carriage may	raasiya guah naymanta	Places refer to their 606 re	port for additional datails	
		clearing mini, Nrs. V	vanderom securities, LLC	C does not receive any	payment for order now,	payment from any p	tont-snaring relationsin	ip, transaction fees of any tr	ansaction redates from our clear	ing inin, ivational rinancia	n Services. However, Nation	nai Financiai Services may	receive such payments	Please feler to their 600 fe	bort for additional details.	•
Susquehanna Capital Gro Vanderbilt Securities, LLG	1 (clearing firm, NFS. V	/anderbilt Securities, LLC	C does not receive any	payment for order flow,	payment from any p	rofit-sharing relationshi	ip, transaction fees or any tr	ansaction rebates from our clear	ing firm, National Financia	al Services. However, Nation	nal Financial Services may	receive such payments	Please refer to their 606 re	port for additional details.).
Wolverine Execution Serv	vices LLC (WEXX):	_					- -									
	· · · · · · · · · · · · · · · · · · ·	clearing firm, NFS. V	/anderbilt Securities, LLC	C does not receive any	payment for order flow,	payment from any pa	rofit-sharing relationshi	ip, transaction fees or any tr	ansaction rebates from our clear	ing firm, National Financia	al Services. However, Nation	nal Financial Services may	receive such payments	Please refer to their 606 re	port for additional details.	ı .
Dash Financial Technolog	gies LLC (DASH):															
Vanderbilt Securities, LL	C routes all trades to their	clearing firm, NFS. V	/anderbilt Securities, LLC	C does not receive any	payment for order flow,	payment from any pa	rofit-sharing relationshi	ip, transaction fees or any tr	ansaction rebates from our clear	ing firm, National Financia	al Services. However, Nation	nal Financial Services may	receive such payments	Please refer to their 606 re	port for additional details.	-

S&P 500 Stocks Summary Market Orders as % Non-Marketable Marketable Limit **Total Non-Directed** Non-Directed Orders Orders as % of Non-Total Non-Marketable | Limit Orders as % of Other Orders as % of as % of All Orders Total Market Orders **Directed Orders** Limit Orders Non-Directed Orders Total Other Orders Non-Directed Orders Orders 2,044 Venues Net Payment Paid/ **Received for Non-**Net Payment Paid/ Received for Net Payment Paid/ **Received for Market** Received for **Marketable Limit Received for Non-Marketable Limit** Net Payment Paid/ Received for Other Orders(cents per **Venue - Non-directed Marketable Limit** Marketable Limit Ion-Marketable Non-Marketable **Received for Market** Marketable Limit Orders(cents per Received for Other **Non-Directed** Marketable Limit Orders(cents per Orders(cents per Non-Directed Orders Orders(%) Market Orders(%) Limit Orders Limit Orders(%) Other Orders(%) Orders(USD) hundred shares) Orders(USD) nundred shares) Orders(USD) hundred shares) Orders(USD) hundred shares) **Order Flow Market Orders** Other Orders Citadel Securities LLC(CDRG) 42.74 47.01 44.83 52.22 VIRTU Americas, 26.54 422 26.81 27.5 7.97 LC(NITE) 44.03 JANE STREET CAPITAL 19.57 3.32 LLC(JNST) 322 14.66 13.79 0.00

39.87

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Equities Exchange(EDGX)	E0	2 20		0.00		0.00	50	16.61	ا	0.00	0						
New York Stock Exchange(NYSE)	50	2.28	0	0.00	0	0.00	50	16.61	0	0.00	0						0 0
Two Sigma Securities, LLC(SOHO)	38	1.73	32	2.03	0	0.00	5	1.66	1	0.34	0) ())) ()	0 0
UBS Securities, LLC(UBSS)	27	1.23	17	1.08	0	0.00	3	1.00	7	2.39	0	0))))	0 0
Instinet BlockCross(BLKX)	3	0.14	0	0.00	0	0.00	0	0.00	3	1.02	0))))	0 0
VIRTU Americas, LL	LLC routes all trades to LC (NITE):		·					• •	·	ion rebates from our clearing firm		·	•	1 5		•	
G1 Execution Service	, , ,																
Vanderbilt Securities,	LLC routes all trades to	o their clearing firm, NFS	Vanderbilt Securities,	LLC does not receive a	iny payment for ord	der flow, payment from	any profit-sharing	relationship, transaction fees o	r any transacti	ion rebates from our clearing firm	National Financial Services	However, National Fin	nancial Services may	receive such payments	. Please refer to their of	606 report for addition	nal details.

New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Non-S&P 500 Stocks **Marketable Limit** Market Orders as % Non-Marketable Orders as % of Non-Other Orders as % of **Total Non-Directed Non-Directed Orders** of Non-Directed Total Marketable **Total Non-Marketable** Limit Orders as % of Non-Directed Orders Total Other Orders as % of All Orders **Total Market Orders** Orders Limit Orders **Directed Orders Limit Orders** Non-Directed Orders 8.381 21.37 329

Other Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

VIRTU Americas,

48.34

Orders

Market Orders(%)

Marketable Limit

Marketable Limit

Market Orders(%)

47.57

Marketable Limit

49.2

Orders(%)

on-Marketable

Limit Orders

Marketable Limit

45.73

Orders(%)

Non-Marketable

Limit Orders

Non-Marketable

Limit Orders(%)

16.93

LLC(NITE)	2,021	21.76	1,589	26.37	66	28.21	275	10.61	91	20.87	0		C	(0	()	0
JANE STREET CAPITAL,	1 270	12.76	1 112	10.47	42	10.20	122	4.70	0	0.00	0							
LLC(JNST)	1,278	13.76	1,113	18.47	43	18.38	122	4.70	U	0.00	U				U	()	<u> </u>
Cboe EDGX US																		1
Equities								20.46										.'
Exchange(EDGX)	/65	8.24	0	0.00	1	0.43	/64	29.46	0	0.00	0))	0	() (0'
NASDAQ Execution																		
Services, LLC(NSDQ)	589	6.34	0	0.00	0	0.00	589	22.72	0	0.00	0	(C	(0	(0	0
G1 Execution																		1
Services, LLC(ETMM)	229	2.47	212	3.52	8	3.42	9	0.35	0	0.00	0		C		0	(0'
New York Stock																		
Exchange(NYSE)	208	2.24	0	0.00	0	0.00	208	8.02	0	0.00	0		0		0		0	/ o'
UBS Securities,																		
LLC(UBSS)	169	1.82	70	1.16	4	1.71	33	1.27	62	14.22	0		o c		0			,
Two Sigma Securities,																		
LLC(SOHO)	166	1.79	129	2.14	3	1.28	34	1.31	0	0.00	0)	0		0	(0
Instinet																		
BlockCross(BLKX)	107	1.15	0	0.00	0	0.00	0	0.00	107	24.54	0		C	(0	((0'
Material Aspects: Citadel Securities LLC (Cl Vanderbilt Securities, LLC	C routes all trades to the	ir clearing firm, Nl	FS. Vanderbilt Securities, I	LLC does not receive a	any payment for order flo	w, payment from	any profit-sharing rel	lationship, transaction	fees or any transacti	on rebates from our	clearing firm, Nationa	al Financial Services.	However, National Fi	nancial Services may 1	receive such payments.	Please refer to their	606 report for addition	al details.
VIRTU Americas, LLC (N Vanderbilt Securities, LLC		ir clearing firm, NI	FS. Vanderbilt Securities, I	LLC does not receive	any payment for order flo	w, payment from	any profit-sharing rel	lationship, transaction	fees or any transacti	on rebates from our	clearing firm, Nationa	al Financial Services.	However, National Fi	nancial Services may 1	receive such payments.	Please refer to their o	606 report for addition	al details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. NASDAQ Execution Services, LLC(NSDQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Instinet BlockCross(BLKX):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Summary Market Orders as % **Marketable Limit** on-Marketable **Total Non-Marketable** of Non-Directed Orders as % of Non-Limit Orders as % of Other Orders as % of **Total Non-Directed** Non-Directed Orders Total Marketable as % of All Orders **Total Market Orders Limit Orders Directed Orders Limit Orders** Non-Directed Orders **Total Other Orders Non-Directed Orders** 1,236 100.00 58.25 448 36.25 Venues Net Payment Paid Net Payment Paid/ Net Payment Paid/ Net Payment Paid/ eceived for Net Payment Paid/ Received for Non-Received for **Net Payment Paid/ Received for Market Marketable Limit Received for Non-Marketable Limit** Net Payment Paid/

45.09

Non-Marketable

Limit Orders(%)

oup(SUSQ)	0_0	26.03	203	39.25	23	34.33	21	4.49	1	25.00	U	U	(7	V ₁	/
lverine Execution vices LLC(WEXX)	167	13.25	13	1.80	2	2.99	152	32.48	0	0.00	0	0	()	0	
sh Financial																	
hnologies				5.60	_	7.46		4440		0.00							
(DASH)	112	8.89	41	5.69	5	7.46	66	14.10	0	0.00	0	0) [
organ Stanley & mpany LLC(MSCO)	63	5.00	41	5 69	4	5 97	18	3.85	0	0.00	0	0					
terial Aspects: adel Securities LLC (CDRG): nderbilt Securities, LLC routes all tra-	ides to their cl	learing firm, N	FS Vanderhilt Securi	ities IIC does not re	ceive any nayment for	r order flow, navment	îrom any profit charin	a ralationship transact	ion foog or any transc		1	1E: 1G : 1	1 N. 1E.		Disease meConto their (106 report for addition	al datails

Other Orders

Other Orders(%)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Morgan Stanley & Company LLC (MSCO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Dash Financial Technologies LLC (DASH):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.