# VANDERBIST SECURITIES, LLC - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2022

April 2022

S&P 500 Stocks

Summary

| Total Non-Directed Orders | Non-Directed Orders as % of All Orders | Total Market Orders | Market Orders as % of Non-Directed Orders | Total Marketable Limit Orders | Marketable Limit Orders as % of Non-Directed Orders | Total Non-Marketable Limit Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Total Other Orders | Other Orders as % of Non-Directed Orders |
|---|---|---|---|---|---|---|---|---|---|
| 4,705 | 100.00 | 4,705 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |

Details

| Retail - Non-directed Order Flow | Non-Directed Orders | Non-Directed Orders(%) | Market Orders | Market Orders(%) | Marketable Limit Orders | Marketable Limit Orders(%) | Non-Marketable Limit Orders | Non-Marketable Limit Orders(%) | Other Orders | Other Orders(%) | Net Payment Paid/ Received for Market Orders(cents) | Net Payment Paid/ Received for Marketable Limit Orders(cents) | Net Payment Paid/ Received for Non-Marketable Limit Orders(cents) | Net Payment Paid/ Received for Other Orders(cents) | Net Payment Paid/ Received for Market Orders(per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares) | Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares) | Net Payment Paid/ Received for Other Orders(per hundred shares) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Citadel Securities LLC(CDRG) | 1,891 | 37.35 | 459 | 37.05 | 17 | 30.23 | 26 | 7.67 | 390 | 31.44 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| VIRTU Americas, LLC(NITE) | 735 | 25.87 | 294 | 23.16 | 17 | 39.02 | 25 | 6.76 | 299 | 39.05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G1 Execution Services, LLC(ETMM) | 447 | 14.42 | 45 | 12.03 | 3 | 11.02 | 14 | 4.64 | 385 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NATIXIS Financial Products LLC(DASH) | 83 | 12.32 | 28 | 16.27 | 1 | 10.20 | 2 | 2.26 | 2 | 3.52 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| UBS Securities, LLC(UBSS) | 36 | 2.94 | 1 | 0.00 | 1 | 2.50 | 35 | 25.07 | 1 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Susquehanna International Securities Limited(SUSQ) | 31 | 2.00 | 1 | 0.00 | 1 | 0.00 | 31 | 22.75 | 1 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Citadel Securities GCS (CWCO) | 24 | 3.81 | 1 | 0.00 | 1 | 0.00 | 23 | 20.00 | 1 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| UBS Securities, LLC(UBSW) | 20 | 1.52 | 1 | 0.30 | 1 | 0.00 | 18 | 5.72 | 1 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Two Sigma Securities, LLC(SOHO) | 19 | 1.00 | 20 | 1.83 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

**Material Aspects:**

Citadel Securities LLC (CDRG):

YetaMerit Securities, LLC states all trades to their clearing firm, NFS. YetaMerit Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

VIRTU Americas, LLC (NITE):

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Two Sigma Securities, LLC(SOHO):

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Citadel GCS LLC(CWCO):

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New York Stock Exchange(NYSE):

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JANE STREET CAPITAL, LLC(JNST):

YetaMerit Securities, LLC states all trades to their clearing firm, NFS. YetaMerit Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Members Exchange(MEMX):

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April 2022

Non S&P 500 Stocks

Summary

| Total Non-Directed Orders | Non-Directed Orders as % of All Orders | Total Market Orders | Market Orders as % of Non-Directed Orders | Total Marketable Limit Orders | Marketable Limit Orders as % of Non-Directed Orders | Total Non-Marketable Limit Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Total Other Orders | Other Orders as % of Non-Directed Orders |
|---|---|---|---|---|---|---|---|---|---|
| 4,705 | 100.00 | 4,705 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |

Details

| Retail - Non-directed Order Flow | Non-Directed Orders | Non-Directed Orders(%) | Market Orders | Market Orders(%) | Marketable Limit Orders | Marketable Limit Orders(%) | Non-Marketable Limit Orders | Non-Marketable Limit Orders(%) | Other Orders | Other Orders(%) | Net Payment Paid/ Received for Market Orders(cents) | Net Payment Paid/ Received for Marketable Limit Orders(cents) | Net Payment Paid/ Received for Non-Marketable Limit Orders(cents) | Net Payment Paid/ Received for Other Orders(cents) | Net Payment Paid/ Received for Market Orders(per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares) | Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares) | Net Payment Paid/ Received for Other Orders(per hundred shares) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Citadel Securities LLC(CDRG) | 1,891 | 31.92 | 1,520 | 38.30 | 36 | 36.70 | 215 | 15.08 | 132 | 26.25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| VIRTU Americas, LLC(NITE) | 1,035 | 21.91 | 365 | 15.57 | 47 | 25.83 | 623 | 8.89 | 0 | 12.28 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G1 Execution Services, LLC(ETMM) | 1,055 | 20.37 | 879 | 21.70 | 17 | 20.31 | 40 | 1.30 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| UBS Securities, LLC(UBSS) | 230 | 11.31 | 222 | 15.31 | 45 | 15.31 | 32 | 3.01 | 1 | 0.93 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Two Sigma Securities, LLC(SOHO) | 184 | 7.00 | 1 | 0.00 | 1 | 0.00 | 181 | 10.91 | 1 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Citadel GCS LLC(CWCO) | 156 | 3.30 | 2 | 0.00 | 2 | 0.00 | 151 | 10.01 | 1 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New York Stock Exchange(NYSE) | 59 | 2.58 | 2 | 0.00 | 2 | 1.50 | 55 | 6.70 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JANE STREET CAPITAL, LLC(JNST) | 56 | 1.87 | 20 | 1.82 | 4 | 1.50 | 30 | 0.70 | 2 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Members Exchange(MEMX) | 51 | 1.85 | 26 | 1.83 | 0 | 0.00 | 25 | 1.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

**Material Aspects:**

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NATIXIS Financial Products LLC(DASH):

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Citadel GCS LLC(CWCO):

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Members Exchange(MEMX):

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April 2022

Option Contracts

Summary

| Total Non-Directed Orders | Non-Directed Orders as % of All Orders | Total Market Orders | Market Orders as % of Non-Directed Orders | Total Marketable Limit Orders | Marketable Limit Orders as % of Non-Directed Orders | Total Non-Marketable Limit Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Total Other Orders | Other Orders as % of Non-Directed Orders |
|---|---|---|---|---|---|---|---|---|---|
| 362 | 100.00 | 362 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |

Details

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Citadel Securities LLC(CDRG) | 251 | 46.30 | 251 | 51.30 | 0 | 48.30 | 230 | 39.70 | 0 | 100.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Susquehanna Capital Group (SUSQ) | 111 | 17.00 | 111 | 22.00 | 0 | 17.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Wolverine Execution Services LLC (WEXX) | 23 | 15.30 | 2 | 2.20 | 2 | 4.00 | 19 | 36.40 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dash Financial Technologies LLC (DASH) | 149 | 14.20 | 71 | 12.40 | 2 | 12.20 | 67 | 18.60 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Morgan Stanley & Company LLC(MSCO) | 19 | 1.90 | 19 | 4.10 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

**Material Aspects:**

Citadel Securities LLC (CDRG):

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Susquehanna Capital Group (SUSQ):

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Wolverine Execution Services LLC (WEXX):

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Dash Financial Technologies LLC (DASH):

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Morgan Stanley & Company LLC (MSCO):

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May 2022

S&P 500 Stocks

Summary

| Total Non-Directed Orders | Non-Directed Orders as % of All Orders | Total Market Orders | Market Orders as % of Non-Directed Orders | Total Marketable Limit Orders | Marketable Limit Orders as % of Non-Directed Orders | Total Non-Marketable Limit Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Total Other Orders | Other Orders as % of Non-Directed Orders |
|---|---|---|---|---|---|---|---|---|---|
| 4,727 | 100.00 | 4,727 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |

Details

| Retail - Non-directed Order Flow | Non-Directed Orders | Non-Directed Orders(%) | Market Orders | Market Orders(%) | Marketable Limit Orders | Marketable Limit Orders(%) | Non-Marketable Limit Orders | Non-Marketable Limit Orders(%) | Other Orders | Other Orders(%) | Net Payment Paid/ Received for Market Orders(cents) | Net Payment Paid/ Received for Marketable Limit Orders(cents) | Net Payment Paid/ Received for Non-Marketable Limit Orders(cents) | Net Payment Paid/ Received for Other Orders(cents) | Net Payment Paid/ Received for Market Orders(per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares) | Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares) | Net Payment Paid/ Received for Other Orders(per hundred shares) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Citadel Securities LLC(CDRG) | 820 | 34.61 | 820 | 36.50 | 0 | 41.10 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| VIRTU Americas, LLC(NITE) | 375 | 21.01 | 375 | 21.00 | 0 | 21.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G1 Execution Services, LLC(ETMM) | 375 | 15.90 | 349 | 20.40 | 0 | 19.50 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NATIXIS Financial Products LLC(DASH) | 340 | 14.20 | 335 | 18.50 | 0 | 15.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| UBS Securities, LLC(UBSS) | 18 | 1.10 | 2 | 0.00 | 1 | 1.00 | 15 | 40.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Susquehanna International Securities Limited(SUSQ) | 16 | 1.50 | 2 | 0.50 | 2 | 0.50 | 12 | 0.50 | 0 | 25.50 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Citadel GCS LLC(CWCO) | 15 | 2.20 | 1 | 0.00 | 1 | 0.00 | 13 | 19.50 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Members Exchange(MEMX) | 14 | 1.00 | 1 | 0.00 | 1 | 0.00 | 12 | 9.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Two Sigma Securities, LLC(SOHO) | 9 | 0.50 | 1 | 0.00 | 1 | 0.00 | 7 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New York Stock Exchange(NYSE) | 8 | 0.40 | 1 | 0.00 | 1 | 0.00 | 6 | 1.70 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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May 2022

Non S&P 500 Stocks

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|---|---|---|---|---|---|---|---|---|---|
| 4,727 | 100.00 | 4,727 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |

Details

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Citadel Securities LLC(CDRG) | 1,935 | 33.30 | 1,635 | 38.40 | 76 | 34.10 | 224 | 12.70 | 200 | 45.90 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| VIRTU Americas, LLC(NITE) | 1,326 | 18.60 | 505 | 22.03 | 53 | 32.10 | 533 | 8.83 | 235 | 20.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G1 Execution Services, LLC(ETMM) | 890 | 14.50 | 832 | 19.51 | 20 | 15.49 | 38 | 1.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Susquehanna International Securities Limited(SUSQ) | 295 | 13.01 | 793 | 17.83 | 53 | 14.51 | 189 | 6.50 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Citadel GCS LLC(CWCO) | 225 | 7.90 | 3 | 0.00 | 2 | 0.00 | 515 | 30.40 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| UBS Securities, LLC(UBSS) | 210 | 3.80 | 2 | 0.00 | 1 | 1.30 | 247 | 14.60 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New York Stock Exchange(NYSE) | 107 | 2.50 | 2 | 0.00 | 2 | 0.00 | 197 | 9.70 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Members Exchange(MEMX) | 105 | 1.50 | 2 | 0.00 | 2 | 0.00 | 158 | 6.10 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Two Sigma Securities, LLC(SOHO) | 53 | 0.60 | 4 | 1.03 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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UBS Securities, LLC(UBSS):

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Two Sigma Securities, LLC(SOHO):

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Citadel GCS LLC(CWCO):

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New York Stock Exchange(NYSE):

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JANE STREET CAPITAL, LLC(JNST):

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Members Exchange(MEMX):

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May 2022

Option Contracts

Summary

| Total Non-Directed Orders | Non-Directed Orders as % of All Orders | Total Market Orders | Market Orders as % of Non-Directed Orders | Total Marketable Limit Orders | Marketable Limit Orders as % of Non-Directed Orders | Total Non-Marketable Limit Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Total Other Orders | Other Orders as % of Non-Directed Orders |
|---|---|---|---|---|---|---|---|---|---|
| 1,038 | 100.00 | 766 | 74.03 | 38 | 1.00 | 233 | 24.98 | 0 | 0.00 |

Details

| Retail - Non-directed Order Flow | Non-Directed Orders | Non-Directed Orders(%) | Market Orders | Market Orders(%) | Marketable Limit Orders | Marketable Limit Orders(%) | Non-Marketable Limit Orders | Non-Marketable Limit Orders(%) | Other Orders | Other Orders(%) | Net Payment Paid/ Received for Market Orders(cents) | Net Payment Paid/ Received for Marketable Limit Orders(cents) | Net Payment Paid/ Received for Non-Marketable Limit Orders(cents) | Net Payment Paid/ Received for Other Orders(cents) | Net Payment Paid/ Received for Market Orders(per hundred shares) | Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares) | Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares) | Net Payment Paid/ Received for Other Orders(per hundred shares) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Citadel Securities LLC(CDRG) | 786 | 32.30 | 626 | 35.60 | 20 | 28.40 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| VIRTU Americas, LLC(NITE) | 415 | 21.11 | 315 | 18.70 | 18 | 29.10 | 82 | 4.20 | 0 | 12.20 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G1 Execution Services, LLC(ETMM) | 401 | 20.40 | 372 | 24.57 | 2 | 21.53 | 27 | 1.20 | 0 | 1.53 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Susquehanna International Securities Limited(SUSQ) | 256 | 15.70 | 182 | 19.40 | 4 | 11.70 | 70 | 4.10 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Citadel GCS LLC(CWCO) | 41 | 1.80 | 1 | 0.00 | 1 | 0.00 | 39 | 12.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New York Stock Exchange(NYSE) | 20 | 1.10 | 2 | 0.00 | 2 | 2.00 | 16 | 10.30 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| UBS Securities, LLC(UBSS) | 20 | 1.00 | 2 | 0.70 | 2 | 0.00 | 16 | 0.80 | 0 | 1.50 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Members Exchange(MEMX) | 16 | 0.90 | 2 | 0.00 | 2 | 0.00 | 12 | 8.50 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Two Sigma Securities, LLC(SOHO) | 9 | 0.70 | 1 | 0.00 | 1 | 2.00 | 7 | 0.00 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

**Material Aspects:**

Citadel Securities LLC (CDRG):

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VIRTU Americas, LLC (NITE):

YetaMerit Securities, LLC states all trades to their clearing firm,