



National Financial Services (NFS) Ticket Charges and Fees

Service Fees	Fees
Equities, Fixed Income & Mutual Funds	\$0.00 - \$25.00 per Transaction
Certificate Processing Fees	Fees
Deposited Stock Certificate Requires Legal Documentation	\$95.00
Physical Reorganization	\$40.00
Legal Return - Due to "Not In Good Order"	\$60.00
Safekeeping	\$7.00 per Position - per Month
Transfer & Ship Certification Non DRS ELIG - Physical Certification	\$225.00 per Position
Transfer & Ship or De-Networking	\$35.00 per Transaction
Alternative Investments Fees	Fees
Alternative Investments Transfers/Re-registration	\$50.00 per Transaction
Annual Alternative Investment Custody & Valuation	Registered \$35.00 per Position - Annually Non-Registered \$125.00 per Position - Annually
Money Movement Fees	Fees
Bounced Checks	\$35.00 per Transaction
FED Fund Wire Transfer	\$15.00
Stop Payment	\$40.00
Account Maintenance Fees	Fees
Annual Custody & Record Keeping/Inactivity	\$40.00
IRA Annual Maintenance	\$35.00
IRA - Retirement Account Closing	\$95.00
Outgoing Transfer	\$125.00
Checkwriting/Debit Card Fees	Fees
Broker Portfolio Premier	\$100.00 Annually
Check Re-Order	\$5.00
Debit Card	\$50.00 Annually
Miscellaneous Fees	Fees
Mailgram	\$10.00
Trade Extensions	\$25.00 per Transaction

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