VANDERBILT SECURITIES, LLC - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2026

January 2026

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
3,722	100.00	3,457	92.88	45	1.21	148	3.98	72	1.93

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	1,159	23.70	1,129	25.07	30	40.54	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	768	15.71	751	16.68	7	9.46	10	4.15	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	703	14.38	629	13.97	12	16.22	17	7.05	45	62.50	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	608	12.43	565	12.55	7	9.46	9	3.73	27	37.50	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	558	11.41	542	12.04	9	12.16	7	2.90	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	521	10.65	511	11.35	3	4.05	7	2.90	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	128	2.62	125	2.78	2	2.70	1	0.41	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	119	2.43	116	2.58	1	1.35	2	0.83	0	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	110	2.25	108	2.40	1	1.35	1	0.41	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	80	1.64	0	0.00	0	0.00	80	33.20	0	0.00	0	0	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC (CDRG):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

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VIRTU Americas, LLC (NITE):
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Jane Street Capital, LLC(JNST):
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NASDAQ Stock Market(NSDQ):
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HRT FINANCIAL LP(HRTF):
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Two Sigma Securities, LLC(SOHO):
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Goldman, Sachs & Co. LLC(GSCO):
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January 2026

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
8,226	100.00	7,093	86.23	271	3.29	714	8.68	148	1.80

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	4,277	33.30	3,964	36.07	313	51.82	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	1,605	12.50	1,528	13.90	51	8.44	26	2.36	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	1,519	11.83	1,310	11.92	77	12.75	63	5.71	69	46.62	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	1,380	10.74	1,217	11.07	42	6.95	42	3.80	79	53.38	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	1,145	8.91	1,080	9.83	41	6.79	24	2.17	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	1,114	8.67	1,065	9.69	30	4.97	19	1.72	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	298	2.32	0	0.00	0	0.00	298	26.99	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	269	2.09	258	2.35	7	1.16	4	0.36	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	239	1.86	0	0.00	0	0.00	239	21.65	0	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	232	1.81	217	1.97	8	1.32	7	0.63	0	0.00	0	0	0	0	0	0	0	0

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JANE STREET CAPITAL, LLC(JNST):
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NASDAQ Execution Services, LLC(NSDQ):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Cboe EDGX US Equities Exchange(EDGX)
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

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January 2026

Option Contracts

Summary

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1,630	100.00	750	46.01	70	4.29	797	48.90	13	0.80

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	602	35.35	279	37.20	23	30.67	297	34.34	3	23.08	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	349	20.49	188	25.07	16	21.33	143	16.53	2	15.38	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	262	15.38	94	12.53	14	18.67	150	17.34	4	30.77	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	258	15.15	33	4.40	6	8.00	217	25.09	2	15.38	0	0	0	0	0	0	0	0
GLOBAL EXECUTION BROKERS, LP(GEBB)	232	13.62	156	20.80	16	21.33	58	6.71	2	15.38	0	0	0	0	0	0	0	0

Material Aspects:

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Global Execution Brokers, LP(GEBB):
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Wolverine Execution Services LLC (WEXX):
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Dash Financial Technologies LLC (DASH):
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February 2026

S&P 500 Stocks

Summary

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3,158	100.00	2,856	90.44	49	1.55	189	5.98	64	2.03

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	1,118	26.07	1,091	28.19	27	36.49	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	666	15.53	637	16.46	9	12.16	20	7.12	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	637	14.85	563	14.55	9	12.16	42	14.95	23	35.94	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	586	13.66	519	13.41	11	14.86	16	5.69	40	62.50	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	488	11.38	466	12.04	9	12.16	13	4.63	0	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	363	8.46	343	8.86	4	5.41	16	5.69	0	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	103	2.40	98	2.53	2	2.70	3	1.07	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	77	1.80	75	1.94	1	1.35	1	0.36	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	68	1.59	65	1.68	1	1.35	1	0.36	1	1.56	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	57	1.33	0	0.00	0	0.00	57	20.28	0	0.00	0	0	0	0	0	0	0	0

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Non-S&P 500 Stocks

Summary

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6,790	100.00	5,593	82.37	295	4.34	793	11.68	109	1.61

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	4,377	38.08	4,019	42.25	358	54.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	1,325	11.53	1,213	12.75	66	9.95	46	3.81	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	1,203	10.47	1,049	11.03	42	6.33	63	5.22	49	44.95	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	1,136	9.88	974	10.24	52	7.84	54	4.47	56	51.38	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	961	8.36	898	9.44	36	5.43	27	2.24	0	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	838	7.29	781	8.21	40	6.03	17	1.41	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	327	2.85	0	0.00	0	0.00	327	27.07	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	240	2.09	0	0.00	0	0.00	240	19.87	0	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	219	1.91	203	2.13	8	1.21	8	0.66	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	182	1.58	0	0.00	1	0.15	181	14.98	0	0.00	0	0	0	0	0	0	0	0

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Cboe EDGX US Equities Exchange(EDGX):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

HRT FINANCIAL LP(HRTF):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Goldman, Sachs & Co. LLC(GSCO):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

New York Stock Exchange(NYSE):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

February 2026

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,495	100.00	781	52.24	43	2.88	661	44.21	10	0.67

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	621	40.09	330	42.25	20	47.62	268	37.43	3	30.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	312	20.14	176	22.54	9	21.43	126	17.60	1	10.00	0	0	0	0	0	0	0	0
GLOBAL EXECUTION BROKERS, LP(GEBB)	223	14.40	157	20.10	6	14.29	59	8.24	1	10.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	211	13.62	91	11.65	4	9.52	114	15.92	2	20.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	182	11.75	27	3.46	3	7.14	149	20.81	3	30.00	0	0	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC (CDRG):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Global Execution Brokers, LP(GEBB):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Wolverine Execution Services LLC (WEXX):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Dash Financial Technologies LLC (DASH):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

JANE STREET CAPITAL, LLC(JNST):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

March 2026

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
4,841	100.00	4,554	94.07	47	0.97	183	3.78	57	1.18

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	1,207	19.78	1,178	20.80	29	39.19	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	1,048	17.17	978	17.27	11	14.86	22	7.14	37	64.91	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	1,012	16.58	961	16.97	6	8.11	27	8.77	18	31.58	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	970	15.89	941	16.61	10	13.51	19	6.17	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	920	15.07	889	15.70	11	14.86	20	6.49	0	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	399	6.54	385	6.80	5	6.76	9	2.92	0	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	141	2.31	138	2.44	0	0.00	3	0.97	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	115	1.88	0	0.00	1	1.35	114	37.01	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	81	1.33	78	1.38	0	0.00	1	0.32	2	3.51	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	76	1.25	75	1.32	1	1.35	0	0.00	0	0.00	0	0	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC (CDRG):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

National Financial Services(XSTM):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details

VIRTU Americas, LLC (NITE):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

G1 Execution Services, LLC (ETMM):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

JANE STREET CAPITAL, LLC(JNST):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

NASDAQ Execution Services, LLC(NSDQ):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Goldman, Sachs & Co. LLC(GSCO):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

HRT FINANCIAL LP(HRTF):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Two Sigma Securities, LLC(SOHO):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

UBS Securities, LLC(UBSS):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

March 2026

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
8,080	100.00	6,438	79.68	346	4.28	1,117	13.82	179	2.22

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	4,933	36.91	4,551	41.94	382	49.55	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	1,594	11.93	1,344	12.39	89	11.54	84	5.37	77	43.02	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	1,578	11.81	1,338	12.33	67	8.69	76	4.86	97	54.19	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	1,358	10.16	1,265	11.66	57	7.39	36	2.30	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	1,298	9.71	1,196	11.02	60	7.78	42	2.68	0	0.00	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	616	4.61	565	5.21	26	3.37	25	1.60	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Stock Market(NSDQ)	504	3.77	0	0.00	13	1.69	491	31.37	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	358	2.68	0	0.00	0	0.00	358	22.88	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	257	1.92	0	0.00	0	0.00	257	16.42	0	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	241	1.80	221	2.04	10	1.30	10	0.64	0	0.00	0	0	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC (CDRG):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

National Financial Services(XSTM):
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VIRTU Americas, LLC (NITE):
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G1 Execution Services, LLC (ETMM):
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JANE STREET CAPITAL, LLC(JNST):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

NASDAQ Execution Services, LLC(NSDQ):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Cboe EDGX US Equities Exchange(EDGX)
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HRT FINANCIAL LP(HRTF):
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Members Exchange(MEMX):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Goldman, Sachs & Co. LLC(GSCO):
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March 2026

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,541	100.00	685	44.45	45	2.92	787	51.07	24	1.56

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	602	37.34	255	37.23	17	33.33	324	38.03	6	25.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	322	19.98	176	25.69	9	17.65	135	15.85	2	8.33	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	247	15.32	99	14.45	8	15.69	129	15.14	11	45.83	0	0	0	0	0	0	0	0
GLOBAL EXECUTION BROKERS, LP(GEBB)	225	13.96	129	18.83	13	25.49	80	9.39	3	12.50	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	216	13.40	26	3.80	4	7.84	184	21.60	2	8.33	0	0	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC (CDRG):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Global Execution Brokers, LP(GEBB):
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Wolverine Execution Services LLC (WEXX):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Dash Financial Technologies LLC (DASH):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

JANE STREET CAPITAL, LLC(JNST):
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