VANDERBILT SECURITIES, LLC - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2023

April 2023

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders: as % of all Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders: as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders: as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,556	100.00	1,556	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	460	34.10	460	34.10	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	794	50.68	457	29.37	337	35.47	0	0.00	0	0.00	127	50.40	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	305	19.53	305	19.53	0	0.00	0	0.00	0	0.00	12	5.07	0	0	0	0	0	0
Jane Street Capital, LLC(JANE)	115	6.87	115	6.87	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
Virtu Americas, LLC (VIRTU)	49	2.80	49	2.80	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	84	5.21	84	5.21	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities GCS (CWCO)	27	1.58	27	1.58	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	21	1.19	21	1.19	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	15	0.77	15	0.81	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
Exchange2EXAM(E2EX)	12	0.65	12	0.65	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC (CDRG):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fee or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

VIRTU Americas, LLC (VIRT):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fee or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

G1 Execution Services, LLC (ETMM):
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NASDAQ Execution Services, LLC(NSDQ):
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UBS Securities, LLC(UBSS):
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Two Sigma Securities, LLC(SOHO):
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Cboe EDGX US Equities Exchange(EDGX):
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April 2023

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders: as % of all Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders: as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders: as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
14,184	100.00	14,184	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	2,065	29.49	2,065	34.19	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(VRTU)	1,755	25.06	1,556	25.76	199	31.77	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JANE)	1,000	14.28	1,000	16.56	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	494	7.05	494	8.18	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	394	5.63	394	6.52	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities GCS (CWCO)	49	0.70	49	0.81	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	19	0.27	19	0.31	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	15	0.21	15	0.25	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Exchange2EXAM(E2EX)	12	0.17	12	0.20	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

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April 2023

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders: as % of all Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders: as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders: as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,526	100.00	980	64.22	48	3.14	498	32.63	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	763	50.02	765	78.07	28	58.33	241	48.39	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	250	16.39	215	21.94	21	43.75	14	2.81	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	148	9.70	0	0.00	0	0.00	148	29.72	0	0.00	0	0	0	0	0	0	0	0
Virtu Financial Capital Markets LLC (VFCM)	131	8.59	0	0.00	0	0.00	131	26.31	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	34	4.11	34	3.47	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

Material Aspects:

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Susquehanna Capital Group (SUSQ):
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Wolverine Execution Services LLC (WEXX):
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Virtu Financial Capital Markets LLC (VFCM):
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Susquehanna Capital Group(SUSQ):
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May 2023

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders: as % of all Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders: as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders: as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,663	100.00	1,663	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	543	32.68	461	27.73	82	42.93	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(VRTU)	395	30.16	375	29.93	19	35.09	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	240	14.69	240	19.61	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	135	8.88	135	11.39	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	48	4.07	0	0.00	0	0.00	48	25.26	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	48	4.07	0	0.00	0	0.00	48	24.93	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	33	1.80	0	0.73	0	0.00	0	1.35	30	1.51	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	27	1.37	0	1.32	0	0.00	0	0.00	27	1.69	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	20	1.32	0	0.00	0	0.00	0	0.00	20	1.32	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	20	1.02	0	0.00	0	0.00	0	0.00	20	1.02	0	0	0	0	0	0	0	0

Material Aspects:

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May 2023

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders: as % of all Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders: as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders: as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
14,966	100.00	14,966	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	5,751	38.43	5,751	38.43	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(VRTU)	3,525	25.45	3,525	25.45	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	1,963	13.12	1,963	13.12	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	1,110	8.96	1,110	8.96	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	494	7.30	0	0.00	0	0.00	494	30.76	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	395	4.40	0	0.00	0	0.00	395	25.57	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	139	1.97	0	0.00	0	0.00	139	8.27	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	54	1.47	0	0.00	0	0.00	54	8.37	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	39	1.31	0	0.00	0	0.00	39	6.76	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	12	0.75	0	0.00	0	0.00	12	1.93	0	0.00	0	0	0	0	0	0	0	0

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May 2023

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders: as % of all Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders: as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders: as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,880	100.00	1,880	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	912	47.27	585	54.85	49	50.54	278	35.38	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	370	19.00	315	31.20	23	39.51	32	3.08	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	268	15.98	0	0.00	0	0.00	268	34.10	0	0.00	0	0	0	0	0	0	0	0
Virtu Financial Capital Markets LLC (VFCM)	258	13.35	93	9.89	5	7.14	160	24.24	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	78	4.04	59	8.71	0	0.00	19	1.08	0	0.00	0	0	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC (CDRG):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fee or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Susquehanna Capital Group (SUSQ):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fee or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Wolverine Execution Services LLC (WEXX):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fee or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Virtu Financial Capital Markets LLC (VFCM):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fee or any transaction rebates from our clearing firm, National Financial