VANDERBILT SECURITIES, LLC - Held NMS Stocks and Options Order Routing Public Report
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2nd Quarter, 2024

April 2024

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
7,758	100.00	1,320	17.02	2,349	30.28	3,089	39.82	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	1,815	29.14	1,286	70.84	529	29.14	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	1,416	22.93	1,171	82.70	245	17.30	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC (CTDWN)	1,087	16.38	912	83.90	175	16.10	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC (UBSS)	942	15.26	830	88.11	112	11.89	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities GCS (CWCO)	379	6.14	0	0.00	0	0.00	379	100.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group (SUSQ)	271	4.39	0	0.00	0	0.00	271	100.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC (MSCO)	144	2.33	0	0.00	0	0.00	144	100.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	39	0.63	0	0.00	0	0.00	39	100.00	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	29	0.47	0	0.00	0	0.00	29	100.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCrossMATCH(IBKX)	26	0.42	0	0.00	0	0.00	26	100.00	0	0.00	0	0	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC (CDRG):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

VIRTU Americas, LLC (NITE):
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NASDAQ Execution Services, LLC(NSDQ):
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Members Exchange(MEMX):
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Two Sigma Securities, LLC(SOHO):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Cboe EDGX US Equities Exchange(EDGS):
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New York Stock Exchange(NYSE):
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April 2024

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
5,531	100.00	1,209	21.86	2,322	41.97	2,000	36.16	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	1,633	29.14	1,286	78.76	347	21.24	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	1,416	25.60	1,171	82.70	245	17.30	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC (CTDWN)	1,087	19.65	912	83.90	175	16.10	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	942	17.03	830	88.11	112	11.89	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group (SUSQ)	271	4.90	0	0.00	0	0.00	271	100.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	144	2.60	0	0.00	0	0.00	144	100.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	39	0.70	0	0.00	0	0.00	39	100.00	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	29	0.52	0	0.00	0	0.00	29	100.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCrossMATCH(IBKX)	26	0.47	0	0.00	0	0.00	26	100.00	0	0.00	0	0	0	0	0	0	0	0

Material Aspects:

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New York Stock Exchange(NYSE):
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April 2024

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,122	100.00	440	39.22	682	60.78	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities GCS (CWCO)	419	37.33	0	0.00	419	100.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group (SUSQ)	263	23.44	0	0.00	263	100.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	39	3.48	0	0.00	39	100.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC (DSHR)	1	0.09	0	0.00	1	100.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC (MSCO)	1	0.09	0	0.00	1	100.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC (CDRG):
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VIRTU Americas, LLC (NITE):
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G1 Execution Services, LLC (CTDWN):
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New York Stock Exchange(NYSE):
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Stretch Financial INC (NYFL):
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May 2024

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
7,222	100.00	1,257	17.40	2,165	29.97	3,800	52.62	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	670	30.08	449	67.01	221	32.99	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	615	27.35	470	76.42	145	23.58	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities GCS (CWCO)	414	18.39	0	0.00	0	0.00	414	100.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(CTDWN)	394	17.46	276	70.05	118	29.95	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group (SUSQ)	271	12.05	0	0.00	0	0.00	271	100.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	176	7.82	0	0.00	0	0.00	176	100.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	39	1.73	0	0.00	0	0.00	39	100.00	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	29	1.29	0	0.00	0	0.00	29	100.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCrossMATCH(IBKX)	26	1.16	0	0.00	0	0.00	26	100.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	7	0.31	7	100.00	0	0.00	0	0.00	0	0.00	0.84	0	0	0	0.12	0	0	0

Material Aspects:

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May 2024

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Summary

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5,755	100.00	1,088	18.91	2,165	37.63	2,502	43.48	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	1,255	21.81	449	35.78	806	64.22	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	615	10.69	470	76.42	145	23.58	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities GCS (CWCO)	414	7.19	0	0.00	0	0.00	414	100.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(CTDWN)	394	6.85	276	70.05	118	29.95	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group (SUSQ)	271	4.71	0	0.00	0	0.00	271	100.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	176	3.06	0	0.00	0	0.00	176	100.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	39	0.68	0	0.00	0	0.00	39	100.00	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	29	0.50	0	0.00	0	0.00	29	100.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCrossMATCH(IBKX)	26	0.45	0	0.00	0	0.00	26	100.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	7	0.12	7	100.00	0	0.00	0	0.00	0	0.00	0.84	0	0	0	0.12	0	0	0

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(SOH STREET CAPITAL, LLC)(SOT):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

NASDAQ Execution Services, LLC(NSDQ):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Cboe EDGX US Equities Exchange(EDGS):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

New York Stock Exchange(NYSE):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

UBS Securities, LLC(UBSS):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Instinet BlockCrossMATCH(IBKX):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Members Exchange(MEMX):
Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

May 2024

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,467	100.00	469	31.97	998	68.03	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Market Orders(per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(per hundred shares)	Net Payment Paid/ Received for Other Orders(per hundred shares)
Citadel Securities LLC (CDRG)	652	44.44	469	71.93	183	28.07	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group (SUSQ)	263	17.93	0	0.00	263	100.00	0	0.00	0	0.00